

## Materials Available from ORI

### General Information

\_\_\_\_\_ **Which Office Handles What Type of Research Abuse.** Explains the different Federal offices that are concerned with abuses of the research process. Updated 7/98.

\_\_\_\_\_ **HHS Fact Sheet on Promoting Integrity in Research.** Describes the system that the Department of Health and Human Services uses to promote integrity in biomedical and behavioral research supported or conducted by agencies of the U.S. Public Health Service. Issued 10/99.

\_\_\_\_\_ **ORI: An Introduction.** Describes the structure and functions of the Office of Research Integrity. Issued 9/93.

\_\_\_\_\_ **"The Whistleblower's Conditional Privilege to Report Allegations of Scientific Misconduct."** Prepared by ORI lawyers, describes protections for whistleblowers in defamation suits. Issued 12/93

\_\_\_\_\_ **"ORI Addresses Ten Issues in Inquiries and Investigations."** Summarizes the PHS position on issues concerning allegations of misconduct in PHS-supported research. Based on a series of articles published in the ORI Newsletter. Revised 6/98.

### Regulations and Guidelines

\_\_\_\_\_ ***Responsibility of PHS Awardee and Applicant Institutions for Dealing with and Reporting Possible Misconduct in Science.*** Public Health Service (PHS) regulation 42 CFR Part 50, Subpart A that requires each institution that applies for a research, research-training, or research-related grant or cooperative agreement under the Public Health Service Act to have established uniform policies and procedures for investigating and reporting instances of alleged or apparent scientific misconduct.

\_\_\_\_\_ **Guidelines for the Conduct of Research in the Intramural Research Program at NIH.** Intended to provide a framework for the fair and open conduct of research without inhibiting scientific freedom and creativity. The guidelines indicate what is commonly considered appropriate scientific conduct in PHS intramural research, research training, and related activities. Revised 1/97.

\_\_\_\_\_ **\*General Procedures and Inquiry Instructions for Scientific Misconduct in Intramural Research.** Serves as a reference book for handling allegations of misconduct in science involving PHS intramural research. Issued 4/94.

\_\_\_\_\_ **ORI Model Policy and Procedures for Responding to Allegations of Scientific Misconduct.** Intended as guidance only. The model policy was designed primarily for use by institutions that are developing or refining their policies and procedures for handling scientific misconduct cases where ORI has jurisdiction. The model procedures were designed to provide more detailed guidance on how to conduct inquiries and investigations in cases where ORI will review and follow-up on actions that the institution decides to take. Revised 2/97.

\_\_\_\_\_ **ORI Guidelines for Institutions and Whistleblowers.** Intended to provide guidance in responding to possible retaliation against whistleblowers in cases involving PHS extramural research and provide information to whistleblowers regarding the appropriate method for submitting a retaliation complaint. Issued 11/95.

\_\_\_\_\_ **ORI Handbook for Institutional Research Integrity Officers.** Designed as a reference work for extramural institutional officials who have responsibility for handling allegations of misconduct involving PHS research. Issued 2/97.

\_\_\_\_\_ **Co-Sponsoring Research Integrity Meetings: Instructions for Proposals.** Guidance for developing proposals requesting ORI support for research integrity meetings. Includes instructions and an application form. Revised 8/99.

\_\_\_\_\_ **Proposed Federal Policy on Research Misconduct.** Proposed government-wide Federal definition and policy for research misconduct that addresses behavior that has the potential to affect the integrity of the research record, including procedural safeguards for handling allegations of research misconduct. *Federal Register*: October 14, 1999 (Vol. 64, No. 198, pp. 55722-55725).

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## Regulations and Guidelines (continued)

\_\_\_\_\_ **Managing Allegations of Scientific Misconduct.** A guidance document for editors. Includes suggestions for handling suspect manuscripts, responding to ORI requests for assistance, correcting the literature, and helpful editorial policies. Issued January 2000.

## Reports

\_\_\_\_\_ **\*Workshop on Data Management in Biomedical Research.** Report of a workshop held in April 1990. Single copies are available.

\_\_\_\_\_ **ORI/AAAS Conference on Plagiarism and Theft of Ideas.** Summary report of conference held on June 21-22, 1993.

\_\_\_\_\_ **\*Integrity and Misconduct in Research.** 1995 Report of the Commission on Research Integrity to the Secretary of Health and Human Services, the House Committee on Commerce and the Senate Committee on Labor and Human Resources. Single copies may be requested.

\_\_\_\_\_ **Consequences of Whistleblowing.** Report on the study of the "Consequences of Whistleblowing for the Whistleblower in Misconduct in Science Cases" conducted by the Research Triangle Institute. Issued 10/95.

\_\_\_\_\_ **Consequences of Being Accused of Misconduct.** Report on the "Survey of Accused but Exonerated Individuals in Research Misconduct Cases" conducted by the Research Triangle Institute. Issued 6/96.

\_\_\_\_\_ **Report on 1998 Annual Report on Possible Research Misconduct.** The report summarizes the information that Extramural institutions filed with ORI to maintain their active assurances and the actions that were taken as a result of those submissions. Issued 9/99.

\_\_\_\_\_ **Managing Integrity in Research: Conference Summary.** A report on a conference co-sponsored by the University of Michigan and ORI that was held in Ann Arbor, Michigan on February 10-11, 1998. Issued 3/98.

\_\_\_\_\_ **Study of Inquiry Reports Not Submitted to ORI.** Study that reviewed reports on 21 inquiries that were reported by institutions in their 1994 or 1995 Annual Report on Possible Research Misconduct. Issued 7/98.

\_\_\_\_\_ **Scientific Misconduct Investigations, 1993-1997.** An analysis of the 150 scientific misconduct investigations closed by ORI from 1993 to 1997. Issued 12/98.

## Regular ORI Publications

\_\_\_\_\_ **Annual Reports.** Annual reports starting in 1994 describe ORI's significant accomplishments during the calendar year. Single copies of the 1993 ORI Annual Report and the Biennial Report from 1991-92 are also available upon request.

\_\_\_\_\_ **ORI Newsletter.** Quarterly publication of ORI. The Newsletter is sent to PHS agencies and all applicant and awardee institutions that hold an active assurance with ORI and is meant to facilitate common interests in handling allegations of scientific misconduct and promoting integrity in PHS-supported research.

**\*Not Available on ORI Internet Web Site located at <http://ori.dhhs.gov>**

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